

FORM PTO-1449

Page 1 of 1

Attorney Docket:
4439-4029

Serial No.:
10/523,622

Applicant:

Xinqi LUI, Kazunobu TSUMURA, Wataru KUGIMIYA, Tyotaro SATO,
Yutaka SAITO and Masahiko SAMOTO

Filing Date:
February 4, 2005

Group Art Unit:
1761

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Patent Number | Publication Date | Country | Class | Sub-Class | Translation |
|------------------|----------------|------------------|---------|--------------|---------------|---|
| /N.D./ | WO 97/12524A | 10 April 1997 | PCT | A23J A23L | 1/14 1/211 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| /N.D./ | WO 99/17619A | 15 April 1999 | PCT | A23J A23L | 1/14 1/211 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| /N.D./ | WO 02/100186A2 | 19 December 2002 | PCT | A23J | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| /N.D./ | WO 02/100186A3 | 19 December 2002 | PCT | A23J | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

| | |
|--|-----------------|
| OTHER DOCUMENTS (including Author, Title, Date, etc.) | |
| EP Search Report corresponding to PCT/JP03/09954 (4 pages) | |
| | |
| | |
| Examiner | Date Considered |
| /Nikki Dees/ | 10/22/2007 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | |

| FORM PTO-1449B INFORMATION DISCLOSURE CITATION | | Attorney Docket: 4439-4029 | Serial No.: 10/523,622 |
|---|-----------------------|---|---------------------------|
| | | Applicant: Xinqi LIU; Kazunobu TSUMURA; Wataru KUGIMIYA; Ryotaro SATO; Yutaka SAITO; and Masahiko SAMOTO | |
| | | Filing Date: February 4, 2005 | Group Art Unit: 1761 |
| NON PATENT LITERATURE DOCUMENTS | | | |
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | |
| /N.D./ | 1. | Zhangcai, et al., "Technical transformation of caffeine process", Chemical Production and Technology 1998, 3(19):53-54, submitted in Chinese (13 pages). | |
| /N.D./ | 2. | Zhangcai, et al., "Technical transformation of caffeine process", Chemical Production and Technology 1998, 3(19):53-54, English translation (5 pages). | |
| | 3. | | |
| | 4. | | |
| | 5. | | |
| | 6. | | |
| | 7. | | |
| | 8. | | |
| | 9. | | |
| | 10. | | |
| | 11. | | |
| | 12. | | |
| | 13. | | |
| | 14. | | |
| | 15. | | |
| | 16. | | |
| | 17. | | |
| | 18. | | |
| | 19. | | |
| | 20. | | |
| | 21. | | |
| | 22. | | |

| | | | |
|-----------------------|--------------|--------------------|------------|
| Examiner Signature | /Nikki Dees/ | Date Considered | 10/22/2007 |
|-----------------------|--------------|--------------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| | | |
|---|---|---------------------------|
| FORM PTO-1449A INFORMATION DISCLOSURE CITATION | Attorney Docket: 4439-4029 | Serial No.: 10/523,622 |
| | Applicant: Xinqi LIU; Kazunobu TSUMURA; Wataru KUGIMIYA; Ryotaro SATO; Yutaka SAITO; and Masahiko SAMOTO | |
| | Filing Date: February 4, 2005 | Group Art Unit: 1761 |

U.S. PATENT / PUBLICATION DOCUMENTS

| Examiner Initial | | Patent/Publication Number | Publication/Issue Date | Name | Filing Date |
|------------------|-----|---------------------------|------------------------|------|-------------|
| | 1. | | | | |
| | 2. | | | | |
| | 3. | | | | |
| | 4. | | | | |
| | 5. | | | | |
| | 6. | | | | |
| | 7. | | | | |
| | 8. | | | | |
| | 9. | | | | |
| | 10. | | | | |
| | 11. | | | | |
| | 12. | | | | |
| | 13. | | | | |
| | 14. | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | | Patent Number | Publication Date | Country | Copy Filed | Translation |
|------------------|-----|---------------|--------------------|---------|---|--|
| /N.D./ | 15. | JP 44-6211 | March 17, 1969 | JP | <input checked="" type="checkbox"/> Yes | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A |
| /N.D./ | 16. | EP 943245 A1 | September 22, 1999 | EP | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input checked="" type="checkbox"/> N/A |
| /N.D./ | 17. | AU 9890490 A | May 20, 1999 | AU | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input checked="" type="checkbox"/> N/A |
| /N.D./ | 18. | EP 827698 A2 | March 11, 1998 | EP | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> English Abstract <input checked="" type="checkbox"/> N/A |
| /N.D./ | 19. | JP 4-173057 A | June 19, 1992 | JP | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> English Abstract <input type="checkbox"/> N/A |
| /N.D./ | 20. | JP 5-304896 A | November 19, 1993 | JP | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> English Abstract <input type="checkbox"/> N/A |

| | | | |
|---|--------------|-----------------|------------|
| Examiner | /Nikki Dees/ | Date Considered | 10/22/2007 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | |

| FORM PTO-1449B INFORMATION DISCLOSURE CITATION | | Attorney Docket: 4439-4029 | Serial No.: 107523,622 |
|---|-----------------------|---|---------------------------|
| | | Applicant: Xinqi LIU; Kazunobu TSUMURA; Wataru KUGIMIYA; Ryotaro SATO; Yutaka SAITO; and Masahiko SAMOTO | |
| | | Filing Date: February 4, 2005 | Group Art Unit: 1761 |
| NON PATENT LITERATURE DOCUMENTS | | | |
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | |
| /N.D./ | 1. | International Search Report for International Application No. PCT/JP03/09954, date of completion of search: 20 October 2003. | |
| | 2. | | |
| | 3. | | |
| | 4. | | |
| | 5. | | |
| | 6. | | |
| | 7. | | |
| | 8. | | |
| | 9. | | |
| | 10. | | |
| | 11. | | |
| | 12. | | |
| | 13. | | |
| | 14. | | |
| | 15. | | |
| | 16. | | |
| | 17. | | |
| | 18. | | |
| | 19. | | |
| | 20. | | |
| | 21. | | |
| | 22. | | |

| | | | |
|-----------------------|--------------|--------------------|------------|
| Examiner Signature | /Nikki Dees/ | Date Considered | 10/22/2007 |
|-----------------------|--------------|--------------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.